August 23, 2019

#### FILED ELECTRONICALLY AND VIA OVERNIGHT MAIL

NHPUC 26AUG/19px1:59

New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street, Suite 10 Concord, N.H. 03301-2429

Re: Initial Application of Energy CX, LLC as an Electric Aggregator

Dear Ms. Howland:

Pursuant to Puc 2006.02, please find enclosed the application of Energy CX, LLC, for initial registration as an electric aggregator in New Hampshire.

Enclosed within is the requisite original application as well as two copies. In accordance with New Hampshire Public Utilities Commission rules this application has also been filed electronically.

Please also find attached a check for the required initial fee in the amount of \$250.00, made payable to the New Hampshire Public Utilities Commission.

Please do not hesitate to let me know if you have any questions or concerns about this application.

Sincerely,

Mark Rice

President



#### **Electric Load Aggregation Application Form**

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.* 

	Indicate whether this application	ion is for an initial registration or for a renewal. 🛛 Initial 🖂 Renewal 🗌			
	Applicant's General Information				
Puc 2006.02(a)	Legal Name	Energy CX, LLC			
Due	Trade Name (d/b/a) (if applicable)				
Puc 2006.02(b)	Business Mailing Address	3501 Woodhead Dr., #5			
		Northbrook, IL 60062			
	Telephone Number	847-509-2290			
	E-Mail Address	mark@energycx.com			
	Website Address (if applicable)	www.energycx.com			
Puc 2006.02(c)	Provide the name(s), title(s), bu	isiness address(es), telephone number(s), and e-mail address(es) of the applicant, if an principal(s),1 if the applicant is anything other than an individual. Use additional sheets if			
	Name	Mark Rice			
	Title	President			
	Business Mailing Address	3501 Woodhead Dr., #5			
		Northbrook, IL 60062			
	Telephone Number	847-509-2280			
	E-Mail Address	mark@energycx.com			
	Name				
	Title				
	Business Mailing Address				
	Telephone Number				
	Email Address				
	Name				
	Title				
	Business Mailing Address				
	Telephone Number				
	E-Mail Address				

<sup>1 &</sup>quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



	Customer Service Contact		
Puc 2006.02(d)	Name	Ellen Rice	
	Title	Vice President	
	Telephone Number	847-509-2290	
	Toll-Free Telephone Number (if available)		
	E-Mail Address	ellen@energycx.com	

	Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	Ellen Rice	
	Title	Vice President	
	Business Mailing Address	3901 Woodhead Dr., #5 Northbrook, IL 60062	
	Telephone Number	847-509-2290	
	E-Mail Address	ellen@energycx.com	

	Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	Mark Rice	
	Title	President	
	Business Mailing Address	3901 Woodhead Dr, #5.	
		Northbrook, IL 60062	
	Telephone Number	847-509-2280	
	E-Mail Address	mark@energycx.com	

	Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	Joel Birnbaum	
	Title	CFO	
	Business Mailing Address	3901 Woodhead Dr., #5 Northbrook, IL 60062	
	Telephone Number	847-509-2290	
	E-Mail Address	joel.birnbaum@gmail.com	

	Separate Attachments: Business Authority and Trade Name		
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or		
	(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.		
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or		
	(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.		



Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	
	N/A	

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	PLEASE SEE EXHIBIT #1

	Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	YES	
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed. AGERA ENERGY,AMBIT NORTHEAST, CHAMPION ENERGY SERVICES, CONSOLIDATED ENERGY SOLUTIONS CONSTELLATION NEWENERGY, DIRECT ENERGY BUSINESS, ENGIE RESOURCES, MEGA ENERGY AND NEXTERA ENERGY		

	Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	UPON LICENSURE	
		Date	

	Attestation and Signature		
Puc 2006.02(I) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.		
	Signature of the applicant or its authorized representative	Date	
	Name: MARK RICE	2 4 10	
	Title: PRESIDENT		

Filing Instructions		
<ol> <li>Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</li> <li>E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov</li> </ol>		

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# **Business Information**

#### **Business Details**

Business Name: ENERGY CX, LLC Business Type: Foreign Limited Liability Company

Business Creation Date: 05/22/2019

Date of Formation in Jurisdiction: 04/29/2019

Principal Office Address: 3501 WOODHEAD DRIVE, #5, Northbrook, IL, 60062, USA

Citizenship / State of Formation: Business ID: 820099

Business Status: Good Standing

Name in State of Formation: ENERGY CX, LLC

Mailing Address: 3501 WOODHEAD DRIVE, #5, Northbrook, IL, 60062, USA

Last Annual Report Year: Next Report Year: 2020

Duration: Not Stated Business Email: miles@energycx.com

Notification Email: miles@energycx.com

Phone #: NONE

Fiscal Year End Date: NONE

#### **Principal Purpose**

S.No	NAICS Code	NAICS Subcode
1	Wholesale Trade	Other Miscellaneous Nondurable Goods Merchant Wholesalers
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#### **Principals Information**

Name/Title	Business Address
MARK RICE / Manager	5601 WOODHEAD DR, #5, Northbrook, IL, 60062, USA

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# **Registered Agent Information**

Name: Unisearch, Inc.

- Registered Office 10 Ferry Street 313, Concord, NH, 03301, USA Address:
- Registered Mailing 10 Ferry Street 313, Concord, NH, 03301, USA Address:

#### **Trade Name Information**

No Trade Name(s) associated to this business.

#### **Trade Name Owned By**

No Records to View.

#### **Trademark Information**

ademark Number	Trademark Name	Busine	ess Address	Mailing Ac	dress
		No records to vie	w.		
Filing History	Address History	View All Other A	Addresses	Name History	Shares

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# Exhibit #1 Other Jurisdictions

## Exhibit #1 - Other Jurisdictions

Diate he	chises of hppheant		
State	License Type	License Number	Status
DC	Electric and Gas Broker	TBD	Application Pending
DE	Electric Broker	TBD	Application Pending
MA	Electric Broker and Gas Retail	TBD	Application Pending
	Agent		
MD	Electric and Gas Broker	TBD	Application Pending
ME	Electric and Gas Broker	TBD	Application Pending
NH	Electric and Gas Aggregator	TBD	Application Pending
OH	Gas Broker	TBD	Application Pending
PA	Electric and Gas Broker	TBD	Application Pending
PA	Electric and Gas Broker	TBD	Application Pending

#### State Licenses of Applicant

## State Licenses of Affiliate Biofuels Technology, LLC d/b/a Energy Connection

State	License Type	License Number	Status
IL	Agent, Broker, Consultant	Docket No. 11-0489	Active
OH	Electric Broker	License No. 15-1018E	Active
NJ	Energy Agent and Energy Consultant	License Nos. EA-0552 and EC-0181	Active
ТХ	Broker	N/A	License not required*
CA	Broker	N/A	License not required
NY	Broker	N/A	License not required

\* Because of recent legislation in Texas, broker registration will be required effective September 1, 2019. Energy CX and/or Energy Connection will be applying for this registration when it becomes available.